

# REGISTERED AQUACULTURE EXPORT FACILITY (RAEF) PRODUCTION FACILITY

## *PRE-INSPECTION PACKAGE*

### GENERAL INFORMATION

#### RAEF Production Facility Inspection and Approval

- This APHIS Registered Aquaculture Export Facility (RAEF) Production Facility Pre-Inspection Package is for facilities that breed, produce, grow, or package live aquatic animals on the facility for the purposes of international export. As a reminder, RAEF is only required if the facility is exporting to a country that either 1) requires APHIS oversight (meaning the importing country requires the exporting facility to be under “APHIS oversight” or the “oversight of the Competent Authority”) regardless of the level of export testing, or lack thereof; and/or 2) when premises freedom level testing is required to export to a country.
  - For example, if a RAEF Production Facility wants to ship live white leg shrimp (*L. vannamei*) to Country A which requires the exporting facility to be under “APHIS oversight” or “oversight of the Competent Authority,” then the facility must be a RAEF Production Facility approved to export *L. vannamei* to Country A before any animals can be shipped.
- This approval does not cover facilities that receive live aquatic animals from U.S.-based RAEF Production Facility sources for the purposes of reboxing and/or relabeling them prior to international export. Facilities performing these activities are considered a “reboxing/relabeling facility;” and APHIS approval to export these animals falls under the RAEF Reboxing/Relabeling Facility approval.<sup>1</sup>
- Complete the RAEF Production Facility Pre-inspection Package forms below to request an APHIS VS inspection to obtain/maintain your facility’s RAEF Production Facility approval. Once this package is signed, submit it along with the Excel workbook (and any supporting documentation) via email to the [APHIS Veterinary Services \(VS\) Office](#) in your area.

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<sup>1</sup> If you are a reboxing/relabeling facility seeking RAEF approval, please visit the [web page](#) for more information.

## **PRE-INSPECTION FORM 1**

### **Request for Approval Form: RAEF Production Facility**

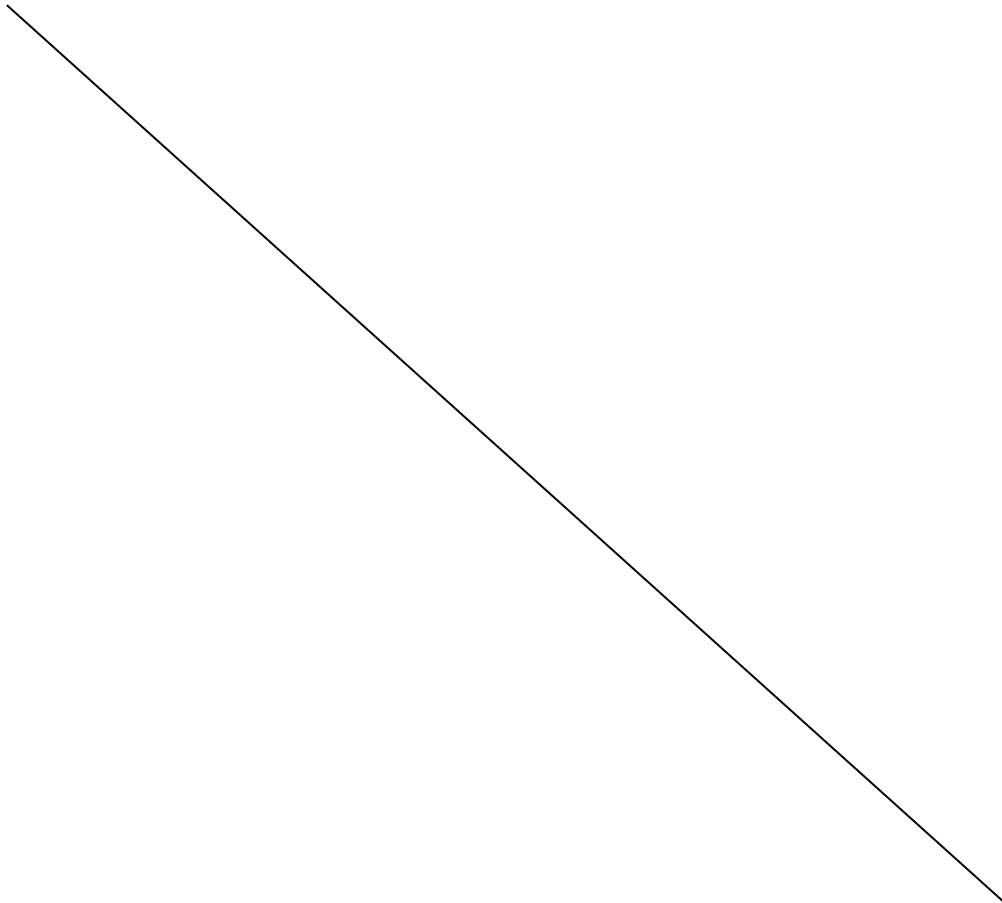
1. This request is for (please select one):  
\_\_\_ A. Inspection for the initial RAEF Production Facility approval.  
\_\_\_ B. Inspection to retain a previously issued RAEF Production Facility approval.
2. Company/organization name:
3. RAEF Approval Number (write "N/A" if this is an initial inspection/ new facility approval):  
  
\_\_\_\_\_
4. Name and physical address of facility to be inspected (facility seeking approval):
5. Mailing address or address of headquarters (if different from physical address of facility above):
6. Contact person/representative at facility:  
Name:  
Position/Title:  
Telephone Number:  
Email Address:
7. USDA Accredited Veterinarian:  
Name:  
National Accreditation Number (NAN):  
Telephone Number:  
Email Address:
8. As a representative of this facility, I understand:
  - A. This approval is for facilities that produce/raise or package live aquatic animals for direct international export. This approval is not for facilities that rebox/relabel live aquatic animals for international export that were received from another source(s).
  - B. This RAEF Production Facility, in conjunction with the facility's USDA Accredited Veterinarian, are responsible for ensuring all exported live aquatic animals meet all requirements of the importing country prior to export.

- C. This approval is only valid for the species and countries indicated. If seeking approval to add or remove countries or species to this facility's approval, I must contact the local [APHIS VS Office](#).
9. I am authorized to verify the activities of the above referenced facility, and represent this facility in this manner. I will be present during the inspection of this facility by APHIS VS.
10. I understand this RAEF Production Facility approval is only valid for the physical facility inspected which operates under the existing management at the time of inspection. If I leave my position or management of this facility changes, this facility will notify APHIS in writing immediately via email to the local [APHIS VS Office](#).
11. If/ when approved, I understand the facility will need to be reinspected every 365 days to maintain facility approval and export eligibility.

Signature:

Date:

Typed name of signing representative:



## **PRE-INSPECTION FORM 2**

### **Commodity Information Form: RAEF Production Facility**

RAEF Approval Number (write “N/A” if this is an initial inspection/ new facility approval):

\_\_\_\_\_

Name and physical address of facility:

Complete one of the Excel workbooks below based on the number of species AND testing requirements of the country(ies) to which the facility needs RAEF approval. Once all the information is entered into the Excel workbook, save the file using the naming convention “Facility Name\_RAEF Number\_Date”. Submit the Excel workbook and any supporting documentation via email to the local [APHIS VS Office](#) and include the facility name and RAEF number in the email subject line.

- **OPTION 1: Any number of species AND premises freedom**

- This Excel workbook - [RAEF Production Facility Pre-Inspection Package – Premises Freedom](#) - should be used for facilities that are seeking approval to export any number of species to at least 1 country that requires/allows premises freedom level testing for any pathogens of concern; this applies to countries that either require premises freedom for the pathogens of concern, OR allow premises freedom for some or all pathogens of concern. This Excel workbook should also be used if the importing country requires/allows premises freedom for some pathogens of concern, as well as requires/allows test-and-ship for some pathogens of concern.
  - For example, a facility wants to export live white leg shrimp (*L. vannamei*) to Country A which requires testing for 4 pathogens. Country A allows testing for these 4 pathogens via either premises freedom or test-and-ship. The facility has premises freedom for 3 of the 4 pathogens, so elects to meet the testing requirements for Country A via premises freedom (for 3 pathogens) and test-and-ship (for the 1 remaining pathogen).

*\*Note:* it takes a minimum of 2 years of ongoing testing at prescribed levels to obtain/ regain premises freedom.

- **OPTION 2: 15 species or less AND no testing/test-and-ship**

- This Excel workbook - [RAEF Production Facility Pre-Inspection Package – No T&S for 15 species or less](#) - should be used for facilities that are seeking approval to export 15 species or less to a given country AND that country requires either no testing or requires/allows test-and-ship (testing within a specific timeframe pre-export) for all the pathogens of concern.
  - If the facility meets the testing requirements via premises freedom for any country (even if the importing country doesn’t require premises freedom), then OPTION 1 should be used instead.

- **OPTION 3: 16 species or more AND no testing/test-and-ship**

- This Excel workbook - [RAEF Production Facility Pre-Inspection Package – No T&S for 16 species or more](#) - should be used for facilities that are seeking approval to export 16 species or more to a given country AND that country requires either no testing or requires/allows test-and-ship (testing within a specific timeframe pre-export) for all the pathogens of concern.
  - If the facility meets the testing requirements via premises freedom for any country (even if the importing country doesn’t require premises freedom), then OPTION 1 should be used instead.

I certify:

1. This facility (as listed above) will only export the species listed in the attached Excel workbook to the countries specified in the attached Excel workbook.
2. Before this facility exports live aquatic animals covered under this RAEF Production Facility approval, this facility will ensure the animals meet the importing country's requirements prior to each shipment.
3. I am authorized to represent the above referenced facility in this manner.

Signature:

Date:

Typed name of signing representative:

Submit the signed RAEF Production Facility Pre-Inspection Package (which includes Forms 1 & 2, and the Excel workbook plus applicable supporting documentation per the Excel workbook instructions) via email to the local [APHIS VS Office](#).