

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE  
ANIMAL CARE

ENVIRONMENT ENHANCEMENT PROGRAM  
FOR NONHUMAN PRIMATES

INSTRUCTIONS

For use of this form, see 9 CFR 3.81 (Animal Welfare Regulations, Title 9, Subchapter A, Part III, Subpart D, Section 3.81)

Dealers, exhibitors, and research facilities must develop, document, and follow an appropriate plan for adequate environment enhancement that promotes the psychological well-being of nonhuman primates. The plan must be in accordance with currently accepted professional standards as cited in appropriate journals or reference guides, and as directed by the attending veterinarian. The plan must be made available to the USDA APHIS upon request.

This form may be used to meet the requirement for a written Environment Enhancement Plan (EEP) for the Psychological Well-Being of Nonhuman Primates. Use of this form is voluntary and alternatively, it may be used as a guideline for developing and writing the plan for your activity.

Pages or blocks which do not apply to the facility should be marked N/A. If the space provided is not adequate for a specific topic, additional sheets may be added. Ensure the additional sheets include Section and Item Numbers.

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SECTION I. PROGRAM ESTABLISHMENT

A. LICENSEE/REGISTRANT		B. VETERINARIAN
1. NAME:		1. NAME:
2. BUSINESS NAME:		2. CLINIC NAME:
3. USDA LICENSE/REGISTRATION NUMBER:		3. STATE LICENSE NUMBER:
4. MAILING ADDRESS:		4. BUSINESS ADDRESS:
5. CITY, STATE, AND ZIP CODE:		5. CITY, STATE, AND ZIP CODE:
6. HOME TELEPHONE NUMBER:	7. BUSINESS TELEPHONE NUMBER:	6. BUSINESS TELEPHONE NUMBER:

C. NOTES:

## SECTION II. NONHUMAN PRIMATE SPECIES

A. COMMON NAME	B. SCIENTIFIC NAME	C. GENDER <i>M - Male F - Female</i>	D. SIZE GROUP <i>(see table in Animal Welfare Regulations Section 3.80)</i>	E. AGE GROUP <i>A - Adult J - Juvenile I - Infant G - Geriatric</i>
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				

For each of the remaining sections of this form, note which species the EEP description applies to or write "all species" if the description applies to all of the nonhuman primate species. Alternatively, a separate form may be filled out for each species or species group (prosimians, new world monkeys, etc.).

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### SECTION III. SOCIAL GROUPING

9 CFR 3.81(a): The environment enhancement plan must include specific provisions to address the social needs of nonhuman primates of species known to exist in social groups in nature.

A. LIST PRIMATES SOCIALLY HOUSED WITH SAME SPECIES *(includes both pair and group housing)*:

☐ Cont'd

B. LIST PRIMATES SOCIALLY HOUSED WITH OTHER SPECIES *(including the species they are housed with)*:

☐ Cont'd

C. LIST PRIMATES SINGLY HOUSED WITH PARTIAL CONTACT ACCESS TO COMPANION. DESCRIBE WHAT IS MEANT BY PARTIAL CONTACT AT YOUR FACILITY:

☐ Cont'd

D. LIST PRIMATES INDIVIDUALLY HOUSED WHO ARE OFFERED SOCIAL EXPERIENCES THROUGH INTERMITTENT SOCIAL HOUSING. DESCRIBE SCHEDULE FOR INTERMITTENT SOCIAL HOUSING *(include maximum duration of separation)*:

☐ Cont'd

E. DESCRIBE ADDITIONAL STRATEGIES TO MEET SOCIAL NEEDS FOR INDIVIDUALLY HOUSED PRIMATES. *(individually housed primates must be able to see and hear other primates of the same or compatible species)*

☐ Cont'd

F. DESCRIBE HOW COMPATIBILITY IS ASSESSED:

☐ Cont'd

**SECTION IV. ENVIRONMENTAL ENRICHMENT, PART A (STRUCTURE AND SUBSTRATE)**

9 CFR 3.81(b): The physical environment in the primary enclosures must be enriched by providing means of expressing non-injurious, species typical activities. Species differences should be considered when determining the type or methods of enrichment. Examples of environmental enrichment include providing perches, swings, mirrors, and other increased cage complexities.

A. DESCRIBE HOW THE ANIMAL HOUSING PROVIDES OPPORTUNITIES TO EXPRESS SPECIES-TYPICAL POSTURES AND POSITIONS FOR RESTING, SLEEPING, FEEDING, EXPLORATION, AND PLAY:

☐ Cont'd

B. DESCRIBE HOW THE ANIMAL HOUSING PROVIDES OPPORTUNITIES TO EXPRESS SPECIES-TYPICAL LOCOMOTION:

☐ Cont'd

C. DESCRIBE HOW THE ANIMAL HOUSING PROVIDES OPPORTUNITIES TO MAKE SOCIAL ADJUSTMENTS:

☐ Cont'd

D. ARE ELEVATED RESTING STRUCTURES PROVIDED IN EACH ENCLOSURE?

☐ YES

☐ NO (provide explanation)

☐ Cont'd

E. ARE NEST BOXES OR NESTING MATERIAL PROVIDED?

☐ YES

☐ NO (provide explanation)

☐ Cont'd

F. DESCRIBE MATERIALS PROVIDED TO SUPPORT SCENT MARKING (include schedules for replacement and sanitation):

☐ Cont'd

**SECTION IV. ENVIRONMENTAL ENRICHMENT, PART B (FORAGING AND EXPLORATION)**

9 CFR 3.81(b) (cont'd): Examples of environmental enrichments include . . . providing objects to manipulate; varied food items, using foraging or task-oriented feeding methods; and providing interaction with the caregiver or other familiar and knowledgeable person consistent with personnel safety precautions.

A. DESCRIBE ENRICHMENT THAT IS PROVIDED TO PROMOTE FORAGING OR TASK-ORIENTED FEEDING (INCLUDING PROCESSING OF RAW VEGETABLES AND FRUIT) *(include schedules and how novelty is provided)*:

☐ Cont'd

B. DESCRIBE ENRICHMENT THAT IS PROVIDED TO PROMOTE MANIPULATION *(include schedules and how novelty is provided)*:

☐ Cont'd

C. DESCRIBE ANY VARIED FOOD ITEMS THAT ARE OFFERED:

☐ Cont'd

D. DESCRIBE ENRICHMENT THAT PROVIDES VARIED SENSORY STIMULI *(include schedules and how novelty is provided)*:

☐ Cont'd

E. DESCRIBE ENRICHMENT THAT PROMOTES OTHER TYPES OF SPECIES-SPECIFIC BEHAVIOR:

☐ Cont'd

**SECTION V. SPECIAL CONSIDERATIONS**

A. DESCRIBE THE ROUTINE WEANING AGE BY SPECIES:

☐ Cont'd

B. DESCRIBE PRIMARY CARE AND SOCIALIZATION STRATEGIES FOR INFANTS SEPARATED FROM DAMS PRIOR TO 6 MONTHS OF AGE *(or as appropriate for the species)*:

☐ Cont'd

C. DESCRIBE PROGRAM FOR IDENTIFYING ABNORMAL BEHAVIOR *(examples include hyperaggressiveness, stereotypies, self-injurious behavior)*:

☐ Cont'd

D. DESCRIBE PROGRAM AND STRATEGIES FOR MANAGING ABNORMAL BEHAVIOR:

☐ Cont'd

E. DESCRIBE SPECIAL ATTENTION PROVIDED TO GREAT APES WEIGHING OVER 110 POUNDS, INCLUDING ADDITIONAL OPPORTUNITIES TO EXPRESS SPECIES-TYPICAL BEHAVIOR:

☐ Cont'd

F. DESCRIBE SPECIAL ATTENTION PROVIDED TO NONHUMAN PRIMATES WHO ARE INDIVIDUALLY HOUSED AND UNABLE TO SEE AND HEAR NONHUMAN PRIMATES OF THEIR OWN OR COMPATIBLE SPECIES. INCLUDE THE EXPECTED LENGTH OF TIME THE ANIMAL WILL EXPERIENCE THIS HOUSING.

☐ Cont'd

**SECTION VI. RESTRAINT AND EXEMPTIONS**

A. DESCRIBE PROGRAM AND STRATEGIES FOR MANAGING NONHUMAN PRIMATES HELD IN RESTRAINT DEVICES:

☐ Cont'd

B. DESCRIBE SPECIAL ATTENTION PROVIDED TO ANIMALS THAT ARE EXEMPTED FROM PARTICIPATING IN ALL OR PART OF THE ENVIRONMENT ENHANCEMENT PLAN AND THE REASON FOR THE EXEMPTION:

☐ Cont'd

C. DESCRIBE PROGRAM FOR REVIEWING EXEMPTIONS TO THE ENVIRONMENT ENHANCEMENT PLAN:

☐ Cont'd

D. DESCRIBE ASSESSMENT PLAN BEFORE AN ANIMAL IS EXEMPTED FROM SOCIAL HOUSING DUE TO COMPATIBILITY ISSUES:

☐ Cont'd

## Privacy Act Notice

**Authority:** The Animal Welfare Act (AWA), 7 U.S.C. 2131 *et seq.*, and the regulations issued thereunder, 9 CFR parts 1 through 4; and the Horse Protection Act (HPA), 15 U.S.C. 1821 *et seq.*, and the regulations issued thereunder, 9 CFR parts 11 and 12.

**Purpose:** This system supports APHIS' administrative activities and enforcement of the AWA and HPA.

### **Routine Uses:**

In addition to those disclosures generally permitted under 5 U.S.C. 552a (b) of the Privacy Act, records maintained in the system may be disclosed outside USDA as follows:

- (1) APHIS may disclose the name, city, State, license or registration type and/or status, or change of a license or registrant to any person pursuant to 9 CFR 2.38(c) and 2.127;
- (2) APHIS may disclose annual reports submitted to APHIS by licensees and research facilities to any person pursuant to 9 CFR 2.7 and 2.36;
- (3) APHIS may disclose inspection reports and other regulatory correspondence issued to licensees and registrants [from the agency] to any attending veterinarian in order to carry out duties under the AWA pursuant to 9 CFR 2.33 and 2.40;
- (4) APHIS may disclose the name, telephone number and other contact information, location, inspection reports, and regulatory and other correspondence of licensees, registrants, permittees, and applicants for the same, to appropriate Federal, foreign, State, local, Tribal, or other public authority agencies or officials, in order to carry out duties under the AWA or State, local, Tribal or other public authority on the same subject pursuant to 7 U.S.C. 2145(b);
- (5) APHIS may disclose inspection reports of licensees and registrants, and permit status, to any pet store or other entity that is required under State, local, Tribal, or other public authority to verify a licensee, registrant, or permittee's compliance with the AWA;
- (6) APHIS may disclose information to the National Academies of Sciences, Engineering, and Medicine, and any other research institution engaged or approved by the Department, to the extent APHIS deems the disclosure necessary to complete research and/or compile a report in furtherance of the Department's mission;
- (7) APHIS may disclose final adjudicatory AWA and HPA decisions or orders by an appropriate authority to any person;
- (8) APHIS may disclose to any person the name, city, and State or other information to the extent necessary for proper identification of persons (referred to as "Designated Qualified Persons" or "DQPs") that are or have been qualified to detect and diagnose a horse that is sore or otherwise inspect horses for purposes of enforcing the HPA and of horse industry organizations or associations (referred to as "HIOs") that have currently or have had in the past DQP programs certified by the USDA;
- (9) APHIS may disclose to any regulated horse owner, HIO, and other entities responsible for licensure or required to verify compliance with the HPA, HPA inspection findings and regulatory and other correspondence issued to persons or entities regulated under the HPA;
- (10) APHIS may disclose to any person the name, city, and State or other information to the extent necessary for proper identification of any person or entity who has been disqualified, suspended, and/or otherwise prohibited from showing or exhibiting any horse, or judging or managing any horse show, horse exhibition, horse sale, or horse auction under the HPA and the terms of such action;
- (11) APHIS may disclose to any person the name, city, and State or other information to the extent necessary for proper identification of any regulated individual or entity whose license or permit has been suspended, revoked, expired, terminated, or denied under the AWA and the terms of such action;
- (12) APHIS may disclose to appropriate law enforcement agencies, entities, and persons, whether Federal, foreign, State, local, or Tribal, or other public authority responsible for enforcing, investigating, or prosecuting an alleged violation or a violation of law or charged with enforcing, implementing, or complying with a statute, rule, regulation, or order issued pursuant thereto, when a record in this system on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or court order issued pursuant thereto, if the information disclosed is relevant to any enforcement, regulatory, investigative, or prosecutive responsibility of the receiving entity;
- (13) APHIS may disclose to the Department of Justice when the agency, or any component thereof, or any employee of the agency in his or her official capacity, or any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee, or the United States, in litigation, where the agency determines that litigation is likely to affect the agency or any of its components, is a party to litigation or has an interest in such litigation, and the use of such records by the Department of Justice is deemed by the agency to be relevant and necessary to the litigation; provided, however, that in each case, the agency determines that disclosure of the records to the Department of Justice is a use of the information contained in the records that is compatible with the purpose for which the records were collected;
- (14) APHIS may disclose information in this system of records to a court or adjudicative body in administrative, civil, or criminal proceedings when: (a) The agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the agency has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has an interest in such litigation, and by careful review, the agency determines that the records are to be for a purpose that is compatible with the purpose for which the agency collected the records;
- (15) APHIS may disclose information from this system of records to appropriate agencies, entities, and persons when: (a) USDA suspects or has confirmed that there has been a breach of the system of records; (b) USDA has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, USDA (including its information systems, programs, and operations), the Federal Government, or national security; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with USDA's efforts to respond to the suspected or confirmed compromise and prevent, minimize, or remedy such harm;
- (16) APHIS may disclose information from this system of records to another Federal agency or Federal entity, when the USDA determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (a) responding to a suspected or confirmed breach or (b) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach;
- (17) APHIS may disclose information in this system of records to USDA contractors and other parties engaged to assist in administering the program, analyzing data, developing information management systems, processing Freedom of Information Act requests, and conducting audits. Such contractors and other parties will be bound by the nondisclosure provisions of the Privacy Act;
- (18) APHIS may disclose information in this system of records to USDA contractors, partner agency employees or contractors, or private industry employed to identify patterns, trends, or anomalies indicative of fraud, waste, or abuse;
- (19) APHIS may disclose information in this system of records to a Congressional office from the record of an individual in response to any inquiry from that Congressional office made at the written request of the individual to whom the record pertains;
- (20) APHIS may disclose information in this system of records to the National Archives and Records Administration or to the General Services Administration for records management activities conducted under 44 U.S.C. 2904 and 2906; and
- (21) APHIS may disclose information in this system of records to the Treasury Department as necessary to carry out any and all functions within their jurisdiction, including but not limited to, processing payments, fees, collections, penalties, and offsets.

**Disclosure:** Furnishing this information is voluntary; however, failure to furnish this information may impede your ability to comply with the requirements of the Animal Welfare Act, regulations, and standards.